

CP2134

TRANSMITTAL FORM		Application No.	09/853,770	
(to be used for all correspondence after initial filing)		Filing Date	May 11, 2001	
		First Named Inventor		
		Art Unit	2134	
			Examiner Name	Ellen C. Tran
Total Number of Pages in This Submission 16			Attorney Docket Number	96790P355
	ENCLO	SURES (chec	ck all that apply)	
Fee Transmittal Form Drawing(s))	After Allowance Communication to Group	
Fee Attac	hed	Licensing-r	elated Papers	Appeal Communication to Board of Appeals and Interferences
Amendment / Re	esponse	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Fina	al /declaration(s)	Petition to O Provisional	Convert a Application	Proprietary Information
Extension of Tim	:, \ . ne Request	Power of A Change of	ttorney, Revocation Correspondence Address	Status Letter
		Terminal C	Disclaimer	Other Enclosure(s) (please identify below):
Information Disclosure Statement Request for		Refund	return receipt postcard; copy of search report;	
PTO/SB/08 \ \ \ \ \ \ \ CD, Numb		er of CD(s)	references	
Certified Copy of Priority Document(s)				
Response to Mis	ssing Parts/ ication	Remarks	- 	
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Declaration/POA #02-2666 Response to Missing Parts under 37 CFR 1.52 or 1.53				·
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Firm or	Life S. Hyman, Reg. No. 30,139			
Individual name BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP			MAN LLP	
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Date /2/2/04				
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Docket No.: 96790P355

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

SATOSHI SHIGEMATSU, ET AL.

Application No.: 09/853,770

Filed: May 11, 2001

For: AUTHENTICATION TOKEN AND

AUTHENTICATION SYSTEM - UTILITY

Art Group: 2134

Examiner: Ellen C. Tran

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated November 3, 2004 (copy enclosed herewith) in a counterpart EPO application, which was forwarded to Applicant's Representative in a communication dated November 12, 2004.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

		Respectfully submitted,
	12/22/09	BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Date:	1212101	
		Eric S. Hyman, Reg. No. 30,139

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known			
Application Number 09/853,770			
Filing Date	May 11, 2001		
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Art Unit	2134		
Examiner Name	Ellen C. Tran		
Attorney Docket Number	96790P355		

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.¹	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-5901238	05-04-1999	Matsushita		
		US-5012076	04-30-1991	Yoshida		
		US-4829296	05-09-1989	Clark et al.		
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,		
Initials*	No.¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵	
		EP 0 976 897 A1	02-02-2000	Enix Corporation			
		WO99/60485	11-25-1999	Yutaka Yasukura		<u> </u>	
		EP 1 085 424 A1	03-21-2001	Yutaka Yasukura			
		GB 2 348 309 A	09-27-2000	NEC Corporation			
		0 397 512	11-14-1990	Material Engineering			
		GB 2 350 021 A	11-15-2000	Rohm Co. Ltd			
		DE 199 39 744 A1	08-21-1999				
		WO 01/03075 A1	01-11-2001	Swisscom Ag			
		11-039483	02-12-1999	Nippon Telegr & Teleph Corp.		<u></u>	
i	1	DE 3411570 A1	03-29-1984				

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^{*}Examiner. Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

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Examiner Name	Ellen C. Tran		
Attorney Docket Number	96790P355		

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	

Examiner	Date
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Signature	Considered

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003. Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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